



ml. Sector 8

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Fumihiro ARAKAWA et al.

Serial No.: 09/804,081

Filed: March 13, 2001

For: ANTIREFLECTION FILM

SUBMISSION OF ORIGINAL EXECUTED APPLICATION

Commissioner for Patents  
Box Missing Parts  
Washington, D. C. 20231

Sir:

The above identified application was duly filed on March 13, 2001, in the Japanese language. Accordingly, submitted herewith is a Verified English-language Translation of the application. Also, submitted herewith is a fully executed Declaration and Assignment of the inventors. Attached is our check for \$1,010.00, which includes \$130.00 to cover the payment of the fee in accordance with 37 CFR 1.17(i), \$130.00 to cover the payment of the fee in accordance with 37 CFR 1.16(e), \$40.00 to cover the fee for recordation of the Assignment document, and the remainder to cover the full filing fees.

PLEASE ACCEPT THIS AS  
AUTHORIZATION TO DEBIT  
OR CREDIT FEES TO  
DEP. ACCT. 16-0331  
PARKHURST & WENDEL

SEARCHED INDEXED  
SERIALIZED FILED

Entry of these documents should complete all of the filing formalities, and fully satisfy the Notice mailed May 21, 2001. Accordingly, examination and allowance of the application in due course are respectfully solicited.

The Commissioner is hereby authorized to charge any additional fee (or credit any overpayment) associated with this communication to Deposit Account No. 16-0331. Two duplicate copies of this letter are attached.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.



Roger W. Parkhurst  
Registration No. 25,177

July 17, 2001  
Date

RWP/ame

Attorney Docket No. DAIN:580

PARKHURST & WENDEL, L.L.P.  
1421 Prince Street, Suite 210  
Alexandria, Virginia 22314-2805  
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(rev. 11/00)



#3

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REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service  
Washington, D. C. 20231

Sir:

A corrected filing receipt is hereby requested in view of the error which appears in the original. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt on which the errors have been noted in red.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.

Date: July 17, 2001

  
\_\_\_\_\_  
Roger W. Parkhurst  
Registration No. 25,177

Attorney Docket No. DAIN:580  
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/804,081	03/13/2001		0.00	DAIN:580	4	11	12

CONFIRMATION NO. 9115

FILING RECEIPT



\*OC000000006099869\*

PARKHURST & WENDEL, L.L.P.  
Suite 210  
1421 Prince Street  
Alexandria, VA 22314-2805

Date Mailed: 05/21/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Fumihiro Arakawa, Tokyo-to, JAPAN;  
Hiroko Suzuki, Tokyo-to, JAPAN;

**Domestic Priority data as claimed by applicant**

**Foreign Applications**

JAPAN 2000-74347 03/16/2000

**If Required, Foreign Filing License Granted 05/19/2001**

**Projected Publication Date: To Be Determined - pending completion of Missing Parts**

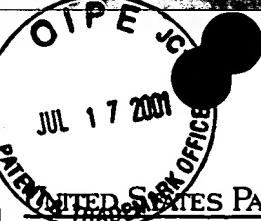
**Non-Publication Request: No**

**Early Publication Request: No**

**Title**

Antireflection film

**Preliminary Class**

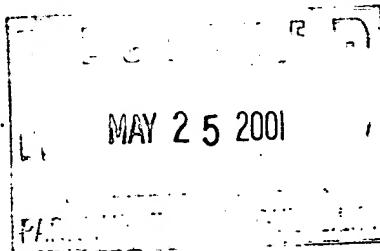


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/804,081	03/13/2001	Fumihiro Arakawa	DAIN:580

PARKHURST & WENDEL, L.L.P.  
 Suite 210  
 1421 Prince Street  
 Alexandria, VA 22314-2805



CONFIRMATION NO. 9115

## FORMALITIES LETTER



\*OC0000000006099870\*

Date Mailed: 05/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/18/2001 HTECLU1 00000088 09804081

## FILED UNDER 37 CFR 1.53(b)

01 FEE 01

710.00 OP  
 130.00 OP  
 130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

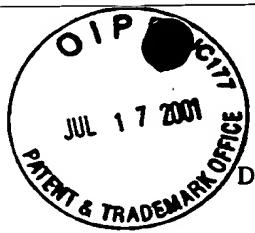
- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- The balance due by applicant is \$ 970.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



D E C L A R A T I O N

In the matter of U.S. Patent  
Application Ser. No. 09/804,081  
in the name of Fumihiro Arakawa  
et al.

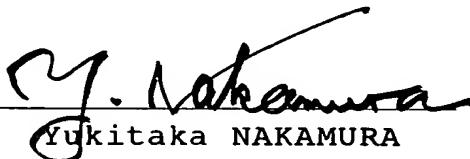
I, Yukitaka NAKAMURA, of Kyowa Patent and Law Office,  
2-3, Marunouchi 3-Chome, Chiyoda-Ku, Tokyo-To, Japan,  
declare and say:

that I am thoroughly conversant with both the Japanese  
and English languages; and,

that the attached document represents a true English  
translation of United States Patent Application Serial No.  
09/804,081 filed on March 13, 2001.

I further declare that all statements made herein of  
my own knowledge are true and that all statements made on  
information and belief are believed to be true; and further  
that these statements were made with the knowledge that  
willful false statements and the like so made are punishable  
by fine or imprisonment, or both, under Section 1001 of Title  
18 of the United States Code, and that such willful false  
statements may jeopardize the validity of the application  
or any patent issued thereon.

Dated: July 11, 2001,

  
Yukitaka NAKAMURA